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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steve G. Baker, Brett J. Carter, Stefan J. M. Kraemer,  
Clifton A. Alferness, John M. Adams

Title: TISSUE FIXATION DEVICES AND A TRANSORAL  
ENDOSCOPIC GASTROESOPHAGEAL FLAP VALVE  
RESTORATION DEVICE AND ASSEMBLY USING SAME

Serial No.: 10/783,717

Filing Date: February 20, 2004

Examiner/Unit: Natalie R. Pous / 3731

Attorney Docket No.: 2234-3-3

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class Mail in an envelope addressed to: MS AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10<sup>th</sup> day of January, 2007.

  
Signature

**RULE 56 INFORMATION DISCLOSURE AND  
ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants acting by and through the attorney of record, hereby discloses patents and other documents listed on the attached Form PTO-1449 which may be considered relevant to the examination of the subject application.

Copies of the cited non-U.S. reference is enclosed.

**Certification Under Section 1.97(e)**

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "Richard O. Gray, Jr.", written in a cursive style.

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

Application Number	10/783,717
Filing Date	February 20, 2004
First Named Inventor	Steve G. Baker
Art Unit	3731
Examiner Name	Natalie R. Pous
Attorney Docket Number	2234-3-3

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/783,717
				Filing Date	February 20, 2004
				First Named Inventor	Steve G. Baker
				Group Art Unit	3731
				Examiner Name	Natalie R. Pous
				Attorney Docket Number	2234-3-3
Sheet	2	of	2		

[illegible]

Examiner Signature		Date Considered	
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